Docket No.: SHIGA7.055APC Customer No. 20995

INFORMATION DISCLOSURE STATEMENT

Applicant : Hirayama et al.

App. No : 10/590,046

Filed : June 15, 2007

For : BASE MATERIAL FOR PATTERN-

FORMING MATERIAL, POSITIVE RESIST COMPOSITION AND METHOD OF RESIST

PATTERN FORMATION

Examiner : Johnson, Connie P.

Art Unit : 1795

Conf No. : 5635

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 3 (three) references, of which 2 (two) are enclosed/submitted.

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing date of a Notice of Allowance.

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(2)

I hereby certify that no item of information contained in this Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than 30 days prior to the filing of this Information Disclosure Statement.

Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c), however, the Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: September 10, 2010 By: /Neil S. Bartfeld/

Neil S. Bartfeld, Ph.D. Registration No. 39,901

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